

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Kirk E. Neet, et al.

Appln. No.:

Filed: Concurrent herewith

For: Alternator Stator Having A  
Multiple Filar Construction To  
Improve Convective Cooling

Attorney Docket No: 10541-1863

Examiner: Unkown

Art Unit: Unknown

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

No.	Date of Publication	Patentee/Applicant/Assignee
3,566,171	02/23/1971	Tichy et al.
3,634,708	01/11/1972	Fisher et al.
3,750,273	08/07/1973	Beddows
3,809,936	05/07/1974	Klein
3,824,683	07/23/1974	Rhudy
4,115,915	09/26/1978	Godfrey
4,260,924	04/07/1981	Lugosi et al.
4,307,311	12/22/1981	Grozinger
4,329,764	05/18/1982	Sakaue et al.
4,331,896	05/25/1982	Sedgewick
4,337,567	07/06/1982	Lugosi et al.
4,399,843	08/23/1983	Sedgewick
4,405,553	09/20/1983	Lovgren et al.
4,451,749	05/29/1984	Kanayama et al.
4,556,811	12/03/1985	Hendricks
4,587,824	05/13/1986	Wiersema et al.
4,617,725	10/21/1986	Holter et al.
4,663,551	05/05/1987	Weh et al.
4,928,042	05/22/1990	Harms et al.
5,115,556	05/26/1992	Gavrildis et al.
5,343,105	08/30/1994	Sakabe et al.
5,394,321	02/28/1995	McCleer et al.
5,444,321	08/22/1995	Honda et al.



BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610

5,449,962	09/12/1995	Shichijo et al.
5,519,266	05/21/1996	Chitayat
5,539,265	07/23/1996	Harris et al.
5,642,009	06/24/1997	McCleer et al.
5,722,153	03/03/1998	Holmes et al.
5,787,567	08/04/1998	Miyazaki
5,926,940	07/27/1999	Toh et al.
5,936,326	08/10/1999	Umeda et al.
5,955,804	09/21/1999	Kusase et al.
5,955,810	09/21/1999	Umeda et al.
5,962,943	10/05/1999	Shervington
5,965,965	10/12/1999	Umeda et al.
5,986,375	11/16/1999	Umeda et al.
5,994,802	11/30/1999	Shichijo et al.
5,998,903	12/07/1999	Umeda et al.
6,011,332	01/04/2000	Umeda et al.
6,037,695	03/14/2000	Kanazawa et al.
6,051,906	04/18/2000	Umeda et al.
6,059,969	05/09/2000	Mizutani
6,078,116	06/20/2000	Shiga et al.
6,091,169	07/18/2000	Umeda et al.
6,097,130	08/01/2000	Umeda et al.
6,124,660	09/26/2000	Umeda et al.
6,137,201	10/24/2000	Umeda et al.
6,137,202	10/24/2000	Holmes et al.
6,147,430	11/14/2000	Kusase et al.
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6,166,461	12/26/2000	Kusase et al.
6,177,747	01/23/2001	Maeda et al.
6,181,043	01/30/2001	Kusase et al.
6,181,045	01/30/2001	Umeda et al.
6,201,332	03/13/2001	Umeda et al.
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6,211,594	04/03/2001	Umeda et al.
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6,252,326	06/26/2001	Umeda et al.
6,268,678	07/31/2001	Asao et al.
6,281,614	08/28/2001	Hill
6,285,105	09/04/2001	Asao et al.
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6,333,573	12/25/2001	Nakamura
6,335,583	01/01/2002	Kusase et al.
6,337,530	01/08/2002	Nakamura et al.
6,348,751	02/19/2002	Jermakian et al.
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2001/0020807	09/13/2001	Imori et al.
2001/0024071	09/27/2001	Yoshida et al.
2001/0026109	10/04/2001	Higashino et al.
2001/0030487	10/18/2001	Higashino et al.
2001/0038251	11/08/2001	Nakamura
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2001/0040416	11/15/2001	Nakamura et al.

2001/0040418	11/15/2001	Higashino et al.
2002/0063490	05/30/1902	Asao et al.
EP 0751609 A2	02.01.1997	Mitsubishi Denki Kabushiki Kaisha
EP 1211781 A1	05.06.1902	Mitsubishi Denki Kabushiki Kaisha
WO 99/34499	08.07.1999	Robert Bosch GMBH
U.S. Serial No. 10/304,319	12/19/02	

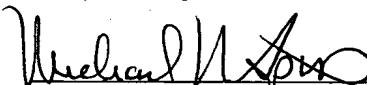
A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. § 1.98(a)(3). Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. § 1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

11/26/03  
Date

  
Michael N. Spink (Reg. No. 47,107)  
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (three sheets)  
Copies of foreign references

FORM PTO-1449		APPLICATION NO. Unknown	CASE NO. 10541-1863
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE Concurrent Herewith	ART UNIT Unknown
APPLICANT(S): Kirk E. Neet, et al.			

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	3,566,171	02/23/1971	Tichy et al.		
	3,634,708	01/11/1972	Fisher et al.		
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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	EP 0751609 A2	02.01.97	Europe		X
	EP 1211781 A1	05.06.02	Europe		X
	WO 99/34499	08.07.99	PCT		Abstract

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	U.S. Serial No. 10/304,319 filed 12/19/02	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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